# SEMI DOL

February 11, 2002





COPY OF PAPERS ORIGINALLY FILED

## RECEIVED

FEB 1 2 2002

Robert Polit Polit & Associates, LLC 3333 Warrenville Road Suite 520 Lisle, IL 60532

Re:

"Automated Chemical Management System Executing Improved Electrolyte

Analysis Method"

Our File Number: P01-0006 Your File Number: P1260US

Dear Bob:

Please find the following original documents for the above captioned matter:

\* Documents sent to Lyndon Graham on October 8, 2001 & January 21, 2002

If you have any questions or need additional information, please let me know.

Very Truly Yours,

Jamide Pikel Toperty Department

Encl.: As noted



COPY OF PAPERS
ORIGINALLY FILED

January 21, 2002

#### VIA FEDERAL EXPRESS

Lyndon Graham 1937 SE Morgan Rd. Hillsboro, OR 97123

Re: "Automated Chemical Management System Executing Improved Electroyte

Analysis Method

Our file number P01-0006

Dear Mr. Graham;

Enclosed please find a Combined declaration and power of attorney form for the above captioned patent application requiring your signature. Execute the document where indicated and then return the original to me for filing as soon as possible so we can meet our deadline.

If you have any questions, please feel free to contact me directly. You can reach me by phone at 406.758.7592 or by e-mail at jpike@semitool.com.

Very Truly Yours.

Janice R. Pike Legal Assistant Intellectual Property

Encl.: As noted above

Practitioner's Docket No. 4492P1260US

PATENT

MAR ( - 3007 1)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re application of

Chen, Linlin

Application No.:

09 931,268 08 16 2001

Filed: Group No.:

1743

Examiner: For: Unassigned Automated Chemical Management Executing Improved Electrolyte Analysis

Method

Assistant Commissioner for Patents Washington, D.C. 20231

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. section 1.8(a)

I hereby certify that the attached correspondence comprising:

Declaration and Power of Attorney for Patent Application

is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231

on Feb. 🚹 , 2002.

Janine E. Rauch

(Certificate of Mailing under 37 C.F.R. section 1.8(a)--page 1 of 1)

ignature of person mailing paper





## Practitioner's Docket No. 4492P1260U'S

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Chen, Linlin

Application No.:

09:931,268

Filed:

08 16 2001

Group No.: Examiner:

1743

For:

Unassigned

Automated Chemical Management Executing Improved Electrolyte Analysis

Method

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

#### TRANSMITTAL OF FORMAL DRAWINGS

In response to the NOTICE OF INFORMAL DRAWINGS that is part of the Notice to File Missing Parts mailed on September 17, 2001 attached please find:

> (a) the formal drawing(s) for this application.

> > Number of Sheets: 4

Each sheet of drawing indicates the identifying indicia suggested in

Section 1.84(c) on the reverse side of the drawing.

a copy of the Notice to File Missing Parts.

Signature of Practitioner

Reg. No.: 33993

Tel. No.: 630-505-1460

Robert B. Polit

Polit and Associates, LLC 3333 Warrenville Road

Suite 520

Lisle, IL 60532

US

#### CERTIFICATE OF MAILING (37 C.F.R. section 1.8(a))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the united States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 3/19/09

Janine E. Rauch

(type or print name of person mailing paper)

PTO SB-17 (11-01)
Approved for use through 10 31 2002 OMB 0651-0032
S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays a valid CMB control number.

# **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 1,994.00

Complete if Known						
Application Number	09.931,268					
Filing Date	August 16, 2001					
First Named Inventor	Linlin Chen					
Examiner Name	Unassigned					
Group Art Unit	1743					
Attorney Docket No.	4492P1260US					

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)								
X Check	Credit card	Money Other None	3. ADDI	3. ADDITIONAL FEES						
X Deposit Account		Large Entity   Small Entity								
Deposit Account Number Deposit		Fee Fee Code (\$)		Fee Fee Code (\$)	Fee Description	Fee Paid				
		105 130	o  :	205 65	Surcharge - late filing fee or oath					
Account Name	Account Polit & Associates, LLC			ן כ	227 25	Surcharge - late provisional filing fee or cover sheet				
The Commiss	ioner is authori	zed to: (check all that apply)	139 130		139 130	Non-English specification				
X Charge fee	(s) indicated belo	ow X Credit any overpayments								
X Charge any additional fee(s) during the pendency of this application				ı	147 2,520	For filing a request for ex parte reexamination				
Charge fee(s) indicated below, except for the filing fee			112 920	ין יי	12 920*	Requesting publication of SIR prior to Examiner action				
to the above-identified deposit account			113 1,84	0 1	13 1,840*	Requesting publication of SIR after				
FEE CALCULATION		115 110	,  .	15 55	Examiner action  Extension for reply within first month					
1. BASIC F			116 400	- i	216 200	Extension for reply within second month				
Large Entity   Fee Fee	Small Entity Fee Fee	Fee Description	117 920	1	216 200	, ,	920.00			
Code (\$)	Code (\$)	Fee Paid	118 1.44	Į.	218 720	Extension for reply within third month				
101 740	201 370	Utility filing fee 740.00	128 1,96			Extension for reply within fourth month				
106 330	206 165	Design filing fee			228 980	Extension for reply within fifth month				
107 510	207 255	Plant filing fee	119 320	- 1	219 160	Notice of Appeal	<b></b>			
108 740	208 370	Reissue filing fee	120 320	- 1	220 160	Filing a brief in support of an appeal				
114 160	214 80	Provisional filing fee	121 280	- 1	221 140	Request for oral hearing				
SUBTOTAL (1) (\$) 740.00		138 1,51	- 1	138 1,510	Petition to institute a public use proceeding					
		140 110	) [2	240 55	Petition to revive - unavoidable					
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		141 1,28	0	241 640	Petition to revive - unintentional					
		Extra Claims below Fee Paid	142 1,28	- 1	42 640	Utility issue fee (or reissue)				
Total Claims Independent	-20**		143 460	- 1	243 230	Design issue fee	<u> </u>			
Independent 5 - 3** = 2 x 84 = 168  Multiple Dependent =		= 12 × 84 = 108	144 620	) [2	44 310	Plant issue fee	130.00			
		122 130	)	122 130	Petitions to the Commissioner	130.00				
Large Entite	6 U.S.		123 50	)	123 50	Processing fee under 37 CFR 1.17(q)				
Large Entity Fee Fee	Small Entity Fee Fee	Fee Description	126 180	)	126 180	Submission of Information Disclosure Stmt				
Code (\$) 103 18	Code (\$) 203 9	Claims in excess of 20	581 40	)   9	581 40	Recording each patent assignment per property (times number of properties)				
102 84	202 42	Independent claims in excess of 3	146 740	)  .	246 370	Filing a submission after final rejection				
104 280	204 140	Multiple dependent claim, if not paid	149 740	, [.	49 370	(37 CFR § 1.129(a)) For each additional invention to be				
109 84	209 42	** Reissue independent claims over original patent	143 740	<u>֓</u>	.+, 510	examined (37 CFR § 1.129(b))				
110 18	210 9	** Reissue claims in excess of 20	179 740	)  -	279 370	Request for Continued Examination (RCE)				
,		and over original patent	169 900		169 900	Request for expedited examination of a design application				
SUBTOTAL (2) (\$) 204.00			Other fee	Other fee (specify)						
**or number previously paid, if greater; For Reissues, see above				l by	Basic Filing	Fee Paid SUBTOTAL (3) (\$)	1,050.00			

SUBMITTED BY		Complete (#	Complete (if applicable)			
Name (Pnnt/Type)	Robert B. Polit	Registration No (Attorney/Agent)	33993	Telephone	630-505-1460	
Signature /		<del>-</del>		Date	2/19/02	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.





#### UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023

www uspto gov

FILING RECEIPT DATE APPLICATION NUMBER

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09 931,268

08 16 2001

Linlin Chen

4492P1260US

**CONFIRMATION NO. 2230** 

Robert B Polit Polit & Associates Suite 520

3333 Warrenville Road Lisle, IL 60532

**FORMALITIES LETTER** 

OC000000006561292\*



Date Mailed: 09/17/2001

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$196.
  - \$36 for 2 total claims over 20.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1036.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
- 00000088 09931268 ■ drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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## A copy of this notice MUST be returned with the reply.

Customer Service Center Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE